

# Data Structures and Algorithms

## Lecture 05 – Maximum Subarray Sum Problem (Dynamic Programming & Divide and Conquer)

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# Problem Solving



Given the daily stock price changes, find the maximum subarray sum, and the corresponding buy and sell dates.

# Solution1: Brute Force

```
def max_subarray_brute_force(nums):  
    n = len(nums)  
    max_sum = float('-inf')  
  
    # 枚举起点i和终点j的所有可能子数组: [0,-], [1-], [2-] ....., 然后求解其最大值;  
    for i in range(n):  
        current_sum = 0  
        for j in range(i, n):  
            current_sum += nums[j]  
            if current_sum > max_sum:  
                max_sum = current_sum  
    return max_sum
```

# Solution2: Brute Force(optimized)

```
def max_subarray_brute_force_optimized(nums):  
    n = len(nums)  
    max_sum = float('-inf')  
    # 计算前缀和  
    prefix_sum = [0] * (n+1)  
    for i in range(1, n+1):  
        prefix_sum[i] = prefix_sum[i-1] + nums[i-1]  
  
    # 枚举所有子数组, 通过前缀和快速计算和  
    for i in range(n):  
        for j in range(i, n):  
            # 子数组nums[i..j]的和 = prefix_sum[j+1] - prefix_sum[i]  
            current_sum = prefix_sum[j+1] - prefix_sum[i]  
            if current_sum > max_sum:  
                max_sum = current_sum  
  
    return max_sum
```

# Solution3: Dynamic Programming (kadane)

```
def max_subarray_kadane(nums):  
    if not nums:  
        return 0  
  
    # 初始化  
    max_ending_here = nums[0] # 以当前元素结尾的最大子数组  
    max_so_far = nums[0]     # 全局最大子数组和  
  
    # 遍历数组  
    for i in range(1, len(nums)):  
        # 关键状态转移: 以nums[i]结尾的最大子数组和  
        # 要么是nums[i]自己, 要么是前面的最大子数组和加上nums[i]  
        max_ending_here = max(nums[i], max_ending_here + nums[i])  
        # 更新全局最大值  
        max_so_far = max(max_so_far, max_ending_here)  
    return max_so_far
```

# Solution4: Divide and Conquer

```
def divide_and_conquer(left, right):  
    # 基准情况: 只有一个元素  
    if left == right:  
        return nums[left]  
    # 计算中间位置  
    mid = (left + right) // 2  
    # 递归求解左右两部分  
    left_max = divide_and_conquer(left, mid)  
    right_max = divide_and_conquer(mid + 1, right)  
    # 计算跨越中间的最大子数组和  
    # 从中间向左扩展  
    left_cross_max = float('-inf')  
    current_sum = 0  
    for i in range(mid, left - 1, -1):  
        current_sum += nums[i]  
        left_cross_max = max(left_cross_max, current_sum)  
    # 从中间向右扩展  
    right_cross_max = float('-inf')  
    current_sum = 0  
    for i in range(mid + 1, right + 1):  
        current_sum += nums[i]  
        right_cross_max = max(right_cross_max, current_sum)  
    # 跨越中间的最大和  
    cross_max = left_cross_max + right_cross_max  
    # 返回三者中的最大值  
    return max(left_max, right_max, cross_max)
```

# Extension

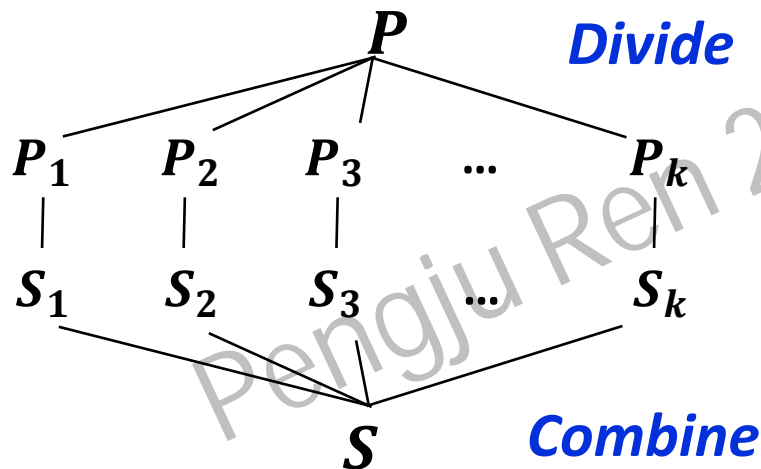
- **Question 1: Is it possible to use a parallel algorithm to accelerate the problem?**
- **Question 2: How to solve the problem if the array is circular (i.e., the beginning and end are connected)?**
- **Question 3: How to extend the maximum subarray sum problem to two dimensions (matrix)?**

# Divide and Conquer

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# What is Divide and Conquer Algorithm

A design paradigm which works by recursively breaking down a problem into *subproblems of similar type* until these become simple enough to be solved directly, then solutions to the subproblems are *combined* to give a solution to the original one



```
Div_and_Con(P)
  if (small(p)):
    return Solution(P)
  else:
    divide P into  $P_1, P_2, \dots, P_k$ 
    Div_and_Con( $P_i$ )
    Combine( $S_1, S_2, \dots, S_k$ )
```

**Example:** Binary Search, Finding Maximum/Minimum, Merge Sort, Quick Sort, Closest Pair of Points, Karatsuba & Toom-Cook, Strassen's Matrix Multiplication

# Time Complexity of Divide and Conquer

```
fib1(int n)
{
  if n<=2;
    return 1, 1;
  else
    a, b = fib1(n-1);
    return b, a+b;
}
```

$$T(n) = T(n-1) + 1$$

$$T(n) = T(n-k) + C$$

$$T(n) = T(n-k) + \log n$$

$$T(n) = T(n-k) + n$$

```
fib2(int n)
{
  if n<=2;
    return 1;
  else
    return fib(n-1)+fib(n-2);
}
```

$$T(n) = 2T(n-1) + 1$$

Decreasing Function

```
def rec_bsearch(arr, tar, lt=None, rt=None):
  if lt is None: //lt is short for left
    lt = 0
  if rt is None: //rt is short for right
    rt = len(arr) - 1
  if lt > rt:
    return -1
  mid = (lt + rt) // 2
  if arr[mid] == tar:
    return mid
  elif arr[mid] < tar:
    return rec_bsearch(arr, tar, mid + 1, rt)
  else:
    return rec_bsearch(arr, tar, lt, mid - 1)
```

$$T(n) = T(n/2) + 1$$

Dividing Function

# Example of D&C Algorithms

Recap *Merge Sorting*: **divide** the array in two halves and keep halving recursively until they become one element, **merge** halves by sorting them

```
def merge_sort(arr):
    if len(arr) <= 1:
        return arr

    mid = len(arr) // 2
    left = merge_sort(arr[:mid])
    right = merge_sort(arr[mid:])

    return merge(left, right)
```

```
def merge(left, right):
    result = []
    i = j = 0
    while i < len(left) and j < len(right):
        if left[i] <= right[j]:
            result.append(left[i])
            i += 1
        else:
            result.append(right[j])
            j += 1
    result.extend(left[i:])
    result.extend(right[j:])
    return result
```

$$T(n) = 2T(n/2) + O(n)$$



# Example of D&C Algorithms

Recap *Quick Sorting*: Selecting a *pivot element* from the array and partitioning the other elements into **two sub-arrays**, according to whether they are **<** or **>** than the pivot. Then sub-arrays are sorted recursively

$$T(n) = 2T(n/2) + O(n)$$

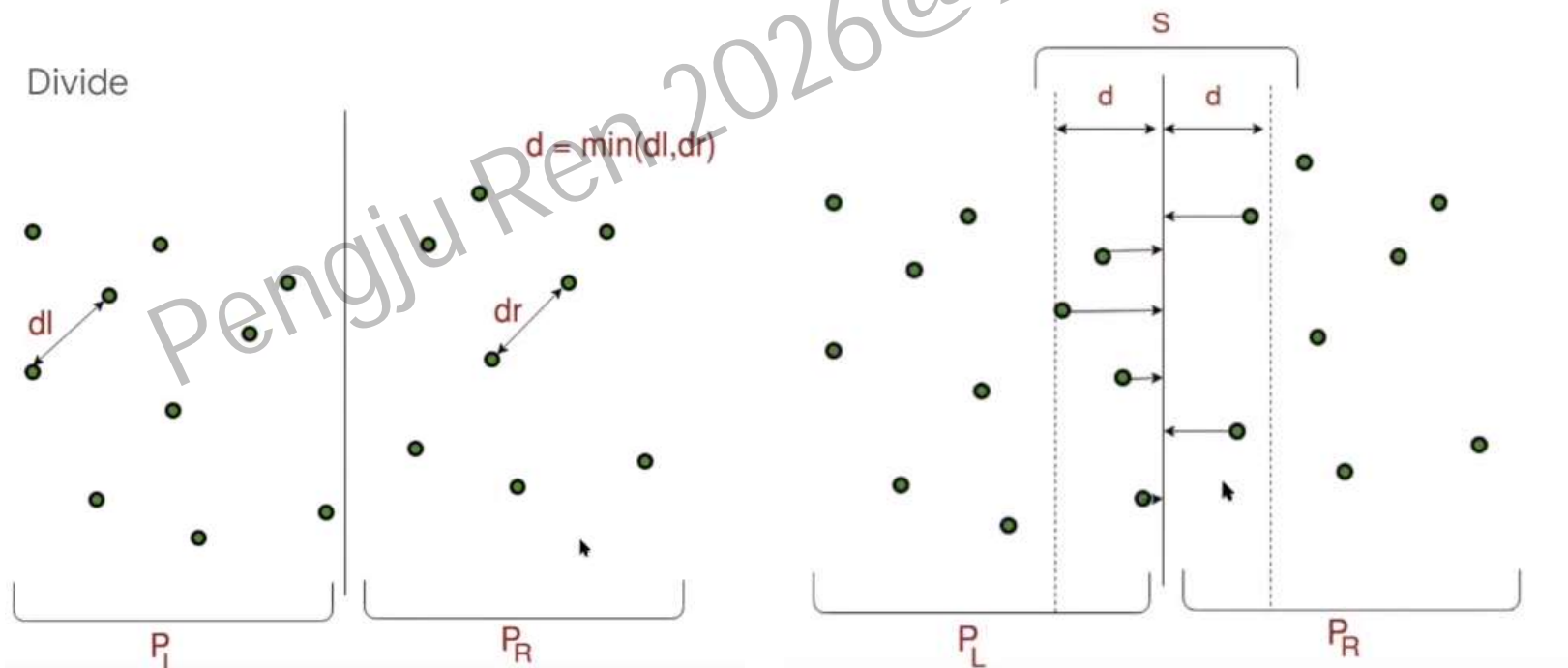
```
def quick_sort(arr):
    if len(arr) <= 1:
        return arr
    pivot = arr[len(arr)//2]
    left = [x for x in arr if x < pivot]
    middle = [x for x in arr if x == pivot]
    right = [x for x in arr if x > pivot]
    return quick_sort(left) + middle + quick_sort(right)
```

# Example of D&C Algorithms

**Closest Pair of Points**: given an array of  $n$  points in the plane, and the problem is to find out the closest pair of points

$T(n) = 2T(n/2) + O(n \log n)$  and  $T(n) = 2T(n/2) + O(n)$  (optimized)

**Divide-and-Conquer Strategy:**



# Example of D&C Algorithms

**Karatsuba Algorithm:** Given two large integers  $x$  and  $y$  with  $n$  digits, compute their product.

$$T(n) = 3T(n/2) + O(n)$$

## Divide-and-Conquer Strategy:

Split  $x$  and  $y$  into higher and lower parts:

$$x = a \cdot 10^m + b, y = c \cdot 10^m + d,$$

where  $m$  is approximately  $n/2$ . Then

$$x \cdot y = ac \cdot 10^{2m} + (ad + bc) \cdot 10^m + bd.$$

Direct computation requires *four* multiplications ( $ac, ad, bc, bd$ ).

Karatsuba only needs *three* ( $ac, bd, (a+b)(c+d)$ ):

$$ad + bc = (a + b)(c + d) - ac - bd.$$

# Example of D&C Algorithms

**Toom-Cook Algorithm:** generalizes Karatsuba by splitting each one into  $k$  parts, reducing the number of multiplications to  $2k - 1$

$$T(n) = 5T(n/3) + O(n)$$

**Divide-and-Conquer Strategy:**

$$A(x) = a_{k-1}x^{k-1} + \dots + a_0 \quad B(x) = b_{k-1}x^{k-1} + \dots + b_0$$

Taking Toom-3 for an example:

$$A(x) = a_2x^2 + a_1x^1 + a_0 \quad B(x) = b_2x^2 + b_1x^1 + b_0$$

$$\text{And the result is : } C(x) = C_4x^4 + C_3x^3 + C_2x^2 + C_1x^1 + C_0$$

This reduces the number of sub-multiplications required from the *nine* needed in a direct block decomposition to only *five* sub-multiplications

# Example of D&C Algorithms

**Strassen's Matrix Multiplication:** Given two  $n \times n$  matrices  $A$  and  $B$ , compute their product  $C = A \times B$ . The standard algorithm requires  $O(n^3)$  time.

$$T(n) = 7T(n/2) + O(n^2)$$

## Divide-and-Conquer Strategy:

Partition the matrices into  $2 \times 2$  blocks:

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \quad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$$

$$C = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

$$M_1 = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$M_2 = (A_{21} + A_{22})B_{11}$$

$$M_3 = A_{11}(B_{12} - B_{22})$$

$$M_4 = A_{22}(B_{21} - B_{11})$$

$$M_5 = (A_{11} + A_{12})B_{22}$$

$$M_6 = (A_{21} - A_{11})(B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22})(B_{21} + B_{22})$$

$$C_{11} = M_1 + M_4 - M_5 + M_7$$

$$C_{12} = M_3 + M_5$$

$$C_{21} = M_2 + M_4$$

$$C_{22} = M_1 - M_2 + M_3 + M_6$$

Requires 7 multiplications and  $O(n^2)$  additions/subtractions.

# Master Theorem

The **Master Theorem** for solving decreasing functions applies to recurrences of the form

$$T(n) = aT(n - b) + f(n) \quad \text{Let } f(n) = n^c$$

where  $a > 0$ ,  $b > 0$ , and  $f$  is asymptotically positive.

IDEA: Compare  $a$  with  $1$ .

- **CASE 1:**  $a > 1 \Rightarrow T(n) = O(a^{n/b} * f(n))$ .
- **CASE 2:**  $a = 1 \Rightarrow T(n) = O(n * f(n))$ .
- **CASE 3:**  $a < 1 \Rightarrow T(n) = O(f(n))$

# Master Theorem

The **Master Theorem** for solving dividing functions applies to recurrences of the form

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \quad \text{Let } f(n) = n^c$$

where  $a \geq 1$ ,  $b > 1$ , and  $f$  is asymptotically positive.

IDEA: Compare  $n^{\log_b a}$  with  $n^c$ .

■ **CASE 1:**  $\log_b a > c$

$$f(n) = O(n^{\log_b a - \epsilon}), \text{ constant } \epsilon > 0 \quad \Rightarrow \quad T(n) = \Theta(n^{\log_b a}).$$

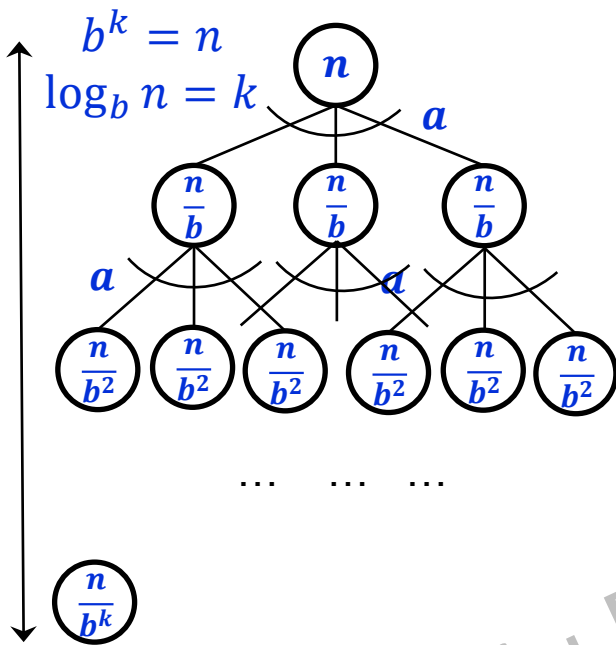
■ **CASE 2:**  $\log_b a \approx c$

$$f(n) = \Theta(n^{\log_b a} \lg^k n), \text{ constant } k \geq 0 \quad \Rightarrow \quad T(n) = \Theta(n^{\log_b a} \log n).$$

■ **CASE 3:**  $\log_b a < c$

$$f(n) = \Omega(n^{\log_b a + \epsilon}), \text{ constant } \epsilon > 0 \quad \Rightarrow \quad T(n) = \Theta(f(n)).$$

# Proven of Master Theorem



$\rightarrow f(n)$

$\rightarrow af\left(\frac{n}{b}\right)$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Let  $f(n) = n^c$

$$\begin{aligned} T(n) &= f(n) + af\left(\frac{n}{b}\right) + a^2f\left(\frac{n}{b^2}\right) + \dots + a^k f(1) \\ &= \sum_{i=0}^k a^i f\left(\frac{n}{b^i}\right) = \sum_{i=0}^k a^i \left(\frac{n}{b^i}\right)^c = n^c \sum_{i=0}^k \left(\frac{a}{b^c}\right)^i \end{aligned}$$

Let  $\frac{a}{b^c} = q$

$$T(n) = n^c \frac{1 - q^{k+1}}{1 - q}$$

1)  $q < 1 \Rightarrow \frac{a}{b^c} < 1 \Rightarrow a < b^c \Rightarrow c > \log_b a$

$$T(n) = n^c \frac{1}{1-q} = \alpha n^c \Rightarrow T(n) = O(n^c)$$

2)  $q = 1 \Rightarrow \frac{a}{b^c} = 1 \Rightarrow a = b^c \Rightarrow c = \log_b a$

$$\begin{aligned} T(n) &= n^c k = n^c \log_b n = n^c \frac{\log_2 n}{\log_2 b} \Rightarrow \beta n^c \log_2 n \\ &\Rightarrow T(n) = O(n^c \log_2 n) = O(n^{\log_b a} \log_2 n) \end{aligned}$$

3)  $q > 1 \Rightarrow \frac{a}{b^c} > 1 \Rightarrow a > b^c \Rightarrow c < \log_b a$

$$T(n) = n^c q^k = n^c \left(\frac{a}{b^c}\right)^{\log_b n} = n^c \frac{a^{\log_b n}}{b^{c \log_b n}}$$

$$= n^c \frac{a^{\log_b n}}{(b^{\log_b n})^c} = n^c \frac{a^{\log_b n}}{n^c} = a^{\frac{\log_a n}{\log_a b}} = a^{\log_a n \log_b n} = n^{\log_b a} \Rightarrow T(n) = O(n^{\log_b a})$$

# Master Theorem

## ■ Binary Search:

$$T(n) = T\left(\frac{n}{2}\right) + O(1) \Rightarrow O(\log_2 n)$$

## ■ Merge/Quick Sort/Closest Pair of Points:

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n) \Rightarrow O(n \log_2 n)$$

## ■ Karatsuba Algorithm: $T(n) = 3T(n/2) + O(n) \Rightarrow O(n^{\log_2 3}) = O(n^{1.585})$

## ■ Toom-Cook Algorithm: $T(n) = 5T(n/3) + O(n) \Rightarrow O(n^{\log_3 5}) = O(n^{1.465})$

## ■ Recursive Matrix Multiplication

$$T(n) = 8T\left(\frac{n}{2}\right) + n^2 \Rightarrow O(n^{\log_2 8}) = O(n^3)$$

## ■ Strassen Matrix Multiplication

$$T(n) = 7T\left(\frac{n}{2}\right) + 18\left(\frac{n}{2}\right)^2 \Rightarrow O(n^{\log_2 7}) = O(n^{2.81})$$

# Homework 4

**Given three polynomials:**

$$A(x) = a_0 + a_1x + \dots + a_{n-1}x^{n-1}$$

$$B(x) = b_0 + b_1x + \dots + b_{n-1}x^{n-1}$$

$$C(x) = c_0 + c_1x + \dots + c_{n-1}x^{n-1}$$

**We want to compute their product**

$$D(x) = A(x)B(x)C(x)$$

**try to accelerate the process using divide and conquer algorithm**

**Submission requirements:**

- 1: Description of the implementation approach
- 2: Performance test results and analysis
- 3: Difficulties encountered and solutions

**Homework naming:** HW4-Class X-stu ID-name, e.g. HW4-Class01-12345678-张三

**Submission email:** chengyuma@stu.xjtu.edu.cn

**Submission time:** 3.29 24 : 00

# Dynamic Programming

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# DP : Longest Increasing Subsequence, LIS

Given an integer array, find the length of *the longest strictly increasing subsequence*. The subsequence does not need to be contiguous, but the order must be preserved.

E.g. `nums = [10,9,2,5,3,4,7]` → LIS is `[2,3,4,7]`, length 4.

```
def length_of_lis(nums):  
    n = len(nums)  
    dp = [1] * n  
    for i in range(n):  
        for j in range(i):  
            if nums[j] < nums[i]:  
                dp[i] = max(dp[i], dp[j] + 1)  
    return max(dp) if n else 0
```

$$dp[i] = 1 + \max_{j < i; \text{nums}[j] < \text{nums}[i]} \{dp[j]\}$$

# Basic Steps of Dynamic Programming

**Step 1: Define the State (State Definition)**

**Step 2: Determine the *State Transition Equation***

**Step 3: Set the *Initial Conditions* and Boundaries**

**Step 4: Determine the Computation Order**

**Step 5: Extract the Final Answer**

# DP: 0-1 Knapsack

Given  $n$  items, each with *weight*  $w[i]$  and *value*  $v[i]$ , and a knapsack with *capacity*  $W$ , each item can be selected at most once. Find the maximum total value that can be obtained without exceeding the capacity.

E.g. Weights [2,3,6,5], Values [6,3,5,4], Capacity 9 → Maximum value is 11

```
def knapsack(weights, values, W):  
    n = len(weights)  
    dp = [0] * (W + 1)  
    for i in range(n):  
        w, v = weights[i], values[i]  
        for j in range(W, w - 1, -1):  
            dp[j] = max(dp[j], dp[j - w] + v)  
    return dp[W]
```

$$dp[i][j] = \max(dp[i-1][j], dp[i-1][j-w[i]] + v[i]) \quad (j \geq w[i])$$

# DP: Edit Distance

Given two strings  $s1$  and  $s2$ , find the minimum number of operations required to convert  $s1$  to  $s2$ . Allowed operations: *insert* a character, *delete* a character, *replace* a character.

E.g.  $S1$  'abcf',  $S2$  'bcfe', (abcf-bcf-bcfe)

```
def edit_distance(s1, s2):
    m, n = len(s1), len(s2)
    dp = [[0]*(n+1) for _ in range(m+1)]
    for i in range(m+1):
        dp[i][0] = i
    for j in range(n+1):
        dp[0][j] = j
    for i in range(1, m+1):
        for j in range(1, n+1):
            if s1[i-1] == s2[j-1]:
                dp[i][j] = dp[i-1][j-1]
            else:
                dp[i][j] = 1 + min(dp[i-1][j], dp[i][j-1], dp[i-1][j-1])
    return dp[m][n]
```

$$dp[i][j] = \begin{cases} j & \text{if } i = 0 \\ i & \text{if } j = 0 \\ \min(dp[i-1][j] + 1, dp[i][j-1] + 1, dp[i-1][j-1] + cost) & \text{otherwise} \end{cases}$$

# DP : Longest Common Subsequence, LCS

Given two strings  $s1$  and  $s2$ , find the length of the longest common subsequence (not necessarily contiguous).

E.g. S1 'abcde', S2 'ace',  $\rightarrow$  LCS is 'ace'

```
def lcs(s1, s2):
    m, n = len(s1), len(s2)
    dp = [[0]*(n+1) for _ in range(m+1)]
    for i in range(1, m+1):
        for j in range(1, n+1):
            if s1[i-1] == s2[j-1]:
                dp[i][j] = dp[i-1][j-1] + 1
            else:
                dp[i][j] = max(dp[i-1][j], dp[i][j-1])
    return dp[m][n]
```

$$dp[i][j] = \begin{cases} dp[i-1][j-1] + 1 & \text{if } s1[i-1] = s2[j-1] \\ \max(dp[i-1][j], dp[i][j-1]) & \text{otherwise} \end{cases}$$

# DP : Matrix Chain Multiplication

Given a sequence of matrix dimensions  $p[n]$ , where the  $i$ -th matrix has dimensions  $p[i-1] \times p[i]$ , find the optimal *parenthesization* that minimizes the number of scalar multiplications.

E.g. Dimensions  $p[n]=[10, 20, 30, 40]$  (three matrices:  $10 \times 20$ ,  $20 \times 30$ ,  $30 \times 40$ )  
→ Optimal is 18,000 ( $10 \times 20 \times 30 + 10 \times 30 \times 40$ ) multiplications.

```
def matrix_chain_order(p):
    n = len(p) - 1
    dp = [[0]*(n+1) for _ in range(n+1)]
    for length in range(2, n+1):
        for i in range(1, n - length + 2):
            j = i + length - 1
            dp[i][j] = float('inf')
            for k in range(i, j):
                cost = dp[i][k] + dp[k+1][j] + p[i-1]*p[k]*p[j]
                if cost < dp[i][j]:
                    dp[i][j] = cost
    return dp[1][n]
```

$$dp[i][j] = \min_{i \leq k < j} \{dp[i][k] + dp[k+1][j] + p[i-1] \cdot p[k] \cdot p[j]\}$$

# DP: Stone Merge

Given  $n$  piles of stones arranged in a line, each merge combines two adjacent piles, with the cost equal to the sum of their *weights*. Find the minimum total cost to merge all piles into one.

**Example:** Stones[4,2,3,6] → Optimal cost is 29, (4+(2+3))+6 cost is 5+9+15=29.

→ ((4+2)+3)+6 cost is 6+9+15=30, (4+2)+(3+6) cost is also 6+9+15=30

```
def stone_merge(stones):
    n = len(stones)
    prefix = [0]*(n+1)
    for i in range(n):
        prefix[i+1] = prefix[i] + stones[i]
    def sum_range(i, j):
        return prefix[j+1] - prefix[i]
    dp = [[0]*n for _ in range(n)]
    for length in range(2, n+1):
        for i in range(n - length + 1):
            j = i + length - 1
            dp[i][j] = float('inf')
            for k in range(i, j):
                dp[i][j] = min(dp[i][j], dp[i][k] + dp[k+1][j] + sum_range(i, j))
    return dp[0][n-1]
```

$$dp[i][j] = \min_{i \leq k < j} \{dp[i][k] + dp[k+1][j] + S(i, j)\}$$

## DP : Box Stacking

Given various boxes (*length, width, height*), unlimited supply of each and every box can be rotated arbitrarily (any permutation of dimensions). Boxes can be stacked only if the base dimensions (length and width) of the lower box are strictly greater than those of the upper box. Find the maximum achievable height.

E.g. Given 3 boxes (length, width, height) : A: (4, 6, 7)、 B: (1, 2, 3)、 C: (3, 5, 4)  
The maximum total height is  $\max(dp) = 20$

# DP: Box Stacking

```
def max_stack_height(boxes):
    # boxes: list of (l, w, h)
    rotations = []
    for l, w, h in boxes:
        rotations.append((l, w, h))
        rotations.append((l, h, w))
        rotations.append((w, l, h))
        rotations.append((w, h, l))
        rotations.append((h, l, w))
        rotations.append((h, w, l))
    # 使长≥宽, 过滤
    boxes = [(max(l,w), min(l,w), h) for l,w,h in rotations]
    # 按底面积降序 (或先长后宽)
    boxes.sort(key=lambda x: x[0]*x[1], reverse=True)
    n = len(boxes)
    dp = [0] * n
    for i in range(n):
        l, w, h = boxes[i]
        dp[i] = h
        for j in range(i):
            lj, wj, hj = boxes[j]
            if lj > l and wj > w:
                dp[i] = h+max(0, dp[j])
    return max(dp)
```

$$dp[i] = h_i + \max(0, \max_{\substack{j < i \\ l_j > l_i, w_j > w_i}} dp[j])$$

# Top-Down and Bottom-Up Approaches

**Dynamic Programming can be implemented in two main ways:**

- **Top-Down (Memorization):** Start from the original problem and recursively solve subproblems, storing computed results in a memo (e.g., array or dictionary).
  - Advantages: Intuitive, follows the natural recursive formulation.
  - Disadvantages: Recursion overhead, risk of stack overflow for deep recursion.
- **Bottom-Up (Tabulation):** Start from the smallest subproblems and iteratively fill the DP table according to the computation order.
  - Advantages: High efficiency, no recursion stack risk.
  - Disadvantages: Requires careful determination of computation order.

*Notice: Both approaches are essentially equivalent; the choice depends on personal preference and the specific problem.*

# Diff of DC and DP

Understanding their differences helps deepen our understanding of the Divide and Conquer approach:

Feature	Divide and Conquer	Dynamic Programming
Subproblem Nature	Subproblems are <b>independent</b> , with no overlap.	Subproblems <b>overlap</b> ; <u><i>the solution to one subproblem may be used multiple times.</i></u>
Solution Approach	Typically, a <b>top-down</b> recursive process.	Typically, both <u><i>top-down</i></u> and <u><i>bottom-up</i></u> use iterative process, or recursion with memorization.
Typical Applications	<i>Merge Sort, Quick Sort, Closest Pair of Points</i>	<i>Knapsack Problem, LSI, LCS, Edit Distance, Matrix Chain Multiplication, Stone Merge, Box-Stacking</i>
Core Overhead	Primarily in the combination step	Primarily in <u><i>state transition and storage</i></u> of all subproblem solutions

# Summary

- **Divide and Conquer** : It simplifies complex problems through recursive decomposition and then reconstructs the solution to the original problem through combination
- **Dynamic Programming (in follow-up courses)**: a method used to solve problems that exhibit **overlapping subproblems** and **optimal substructure**. The core idea is to decompose the original problem into a series of interdependent subproblems, and then solve them in a **bottom-up** or **top-down** manner while storing the results (typically in arrays or hash tables) to avoid redundant calculations. This often reduces the time complexity from exponential to polynomial.

# Homework 5

Xiao Ming is participating in the “11.11” shopping festival. He has a limited budget and wants to buy some items to maximize his total happiness. However, he has a special requirement: the number of **Category A** items he buys must not exceed the number of **Category B** items (for example, Category A could be electronics and Category B daily necessities; he wants at least as many daily necessities as electronics).

There are  $n$  types of items, each with a price  $p_i$  (in yuan), a happiness value  $h_i$ , and a category  $t_i$  (A and B). Xiao Ming has a total budget of  $M$  yuan. He can buy at most one of each item.

Help him choose items so that the total cost does not exceed  $M$ , the number of Category A items  $\leq$  the number of Category B items, and the total happiness is maximized. If there are multiple optimal solutions, output any one. If no solution exists, output 0.

# Homework 5

Test case: 1)  $M=10$  and 2)  $M=15$

	Price	Happiness value	Category
Item1	3	5	A
Item2	4	7	B
Item3	2	4	A
Item4	5	8	B
Item5	3	6	B

## Submission requirements:

- 1: Description of the implementation approach
- 2: Performance test results and analysis
- 3: Difficulties encountered and solutions

**Homework naming:** HW5-Class X-stu ID-name, e.g. HW5-Class01-12345678-张三

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**Submission time:** 4.09 24 : 00